

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L67120KL1994PLC008403

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCG1935E

(ii) (a) Name of the company

GEOJIT FINANCIAL SERVICES

(b) Registered office address

11th Floor,34/659-P
Civil Line Road, Padivattom
Kochi
Kerala
682024

(c) *e-mail ID of the company

liju_johnson@geojit.com

(d) *Telephone number with STD code

04842901000

(e) Website

www.geojit.com

(iii) Date of Incorporation

24/11/1994

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of India Ltd.	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U74140MH1998PLC366529

Pre-fill

Name of the Registrar and Transfer Agent

S K D C CONSULTANTS LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West),

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	GEOJIT INVESTMENT SERVICES +	U52599KL1995PLC008606	Subsidiary	100
2	GEOJIT TECHLOAN PRIVATE LIM +	U72100KL2018PTC055631	Subsidiary	100
3	GEOJIT IFSC LIMITED	U67190GJ2021PLC128236	Subsidiary	100
4	GEOJIT CREDITS PRIVATE LIMIT +	U65910KL1991PTC006106	Subsidiary	68.97
5	GEOJIT TECHNOLOGIES PRIVA +	U72900KL2004PTC017332	Subsidiary	65
6	Qurum Business Group Geojit +		Subsidiary	51
7	Barjeel Geojit Financial Service +		Associate	30
8	BBK Geojit Securities Co. K.S.C. +		Associate	30
9	Al-oula Geojit Capital Compan +		Associate	28

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	238,996,515	238,996,515	238,996,515
Total amount of equity shares (in Rupees)	300,000,000	238,996,515	238,996,515	238,996,515

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	300,000,000	238,996,515	238,996,515	238,996,515
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	300,000,000	238,996,515	238,996,515	238,996,515

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	194,622	238,179,493	238374115			
Increase during the year	0	622,400	622400	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	622,400	622400			
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0

iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	194,622	238,801,893	238996515	0	0	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE007B01023

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="30/07/2021"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	
Ledger Folio of Transferee		<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

4,825,452,200

(ii) Net worth of the Company

5,624,683,849.82

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	49,656,936	20.78	0	
	(ii) Non-resident Indian (NRI)	40,000	0.02	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	21,873,650	9.15	0	
10.	Others Foreign Body Corporate	73,351,480	30.69	0	
	Total	144,922,066	60.64	0	0

Total number of shareholders (promoters)

14

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	77,948,544	32.61	0	
	(ii) Non-resident Indian (NRI)	7,769,533	3.25	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	5,016,456	2.1	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,224,539	0.51	0	
10.	Others Others	2,115,377	0.89	0	
	Total	94,074,449	39.36	0	0

Total number of shareholders (other than promoters)

74,324

**Total number of shareholders (Promoters+Public/
Other than promoters)**

74,338

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

25

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Esvee Capital				3,200,000	1.34
Wisdomtree Emerging +				689,275	0.29
Bns Asia Limited				338,706	0.14
Wisdomtree India Inve +				137,918	0.06

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Emerging Markets Cor +				114,567	0.05
City Of New York Gro +				83,385	0.03
Acadian Emerging Ma +				82,446	0.03
National Railroad Reti +				51,342	0.02
Dimensional World Ex +				46,634	0.02
Quadrature Capital Ve +				36,648	0.02
Acadian Emerging Ma +				32,340	0.01
The Emerging Markets +				27,742	0.01
Emerging Markets Soc +				20,968	0.01
Emerging Markets Cor +				20,237	0.01
American Century Etf +				18,976	0.01
Acadian Emerging Ma +				18,957	0.01
City Of Los Angeles Fir +				17,805	0.01
Emerging Markets Tar +				13,957	0.01
World Ex U.S. Core Equ +				10,947	0.01
Ubs Fund Managemen +				10,894	0.01
World Ex U.S. Targeted +				10,612	0
Emerging Markets Sus +				10,080	0
Emerging Markets Ex (C +				9,920	0
Wisdom Tree Issuer Pl +				9,570	0
Wisdomtree Issuer Pul +				2,530	0

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	14	14
Members (other than promoters)	51,459	74,324
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	1	0	18.12	0
B. Non-Promoter	2	6	3	8	1.73	4.19
(i) Non-Independent	2	1	3	1	1.73	4.18
(ii) Independent	0	5	0	7	0	0.01
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	1	0	0
Total	3	7	4	9	19.85	4.19

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

15

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
C J George	00003132	Managing Director	43,313,236	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Ramanathan Bupathy	00022911	Director	18,000	
Mahesh Vyas	00338749	Director	0	
Radhakrishnan Nair	07225354	Director	0	
Alice Geevarghese Vaic	07394437	Director	0	
Rajan Krishnanath Medl	07940253	Director	0	
M P Vijay Kumar	05170323	Director	0	
Sebastian Morris	00037228	Director	0	
Punnoose George	00049968	Director	9,999,999	
M G Rajamanickam IAS	06847977	Nominee director	0	
A Balakrishnan	00050016	Whole-time director	253,302	
Satish Menon	02277331	Whole-time director	768,168	
Jones George	06674021	Whole-time director	3,100,000	
Mini Nair	ADUPN0392D	CFO	0	
Liju K Johnson	AJQPJ8509E	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Sanjeev Kumar Rajan	ABFPR0349P	CFO	04/04/2021	Cessation
Mini Nair	ADUPN0392D	CFO	05/04/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/07/2021	69,859	34	21.49
EGM - Postal Ballot	25/12/2021	69,959		

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	15/05/2021	10	9	90
2	28/07/2021	10	10	100
3	27/08/2021	10	9	90
4	27/10/2021	10	9	90
5	16/11/2021	10	9	90
6	29/01/2022	13	12	92.31
7	22/03/2022	13	12	92.31

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	23/04/2021	3	3	100
2	Audit Committee	14/05/2021	3	3	100
3	Audit Committee	27/07/2021	3	3	100
4	Audit Committee	26/10/2021	3	3	100
5	Audit Committee	28/01/2022	3	3	100
6	Audit Committee	22/03/2022	5	5	100
7	Nomination and Remuneration	14/05/2021	3	3	100
8	Nomination and Remuneration	25/10/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	Nomination an	16/11/2021	3	3	100
10	Nomination an	22/03/2022	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	C J George	7	7	100	2	2	100	
2	Ramanathan E	7	7	100	12	12	100	
3	Mahesh Vyas	7	7	100	12	12	100	
4	Radhakrishna	7	7	100	10	10	100	
5	Alice Geevarg	7	7	100	0	0	0	
6	Rajan Krishna	7	7	100	2	2	100	
7	M P Vijay Kum	2	2	100	2	2	100	
8	Sebastian Mo	2	2	100	2	2	100	
9	Punnoose Ge	7	7	100	3	3	100	
10	M G Rajamani	7	1	14.29	0	0	0	
11	A Balakrishna	7	7	100	1	1	100	
12	Satish Menon	7	7	100	2	2	100	
13	Jones George	2	2	100	1	1	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	C J George	Managing Director	36,850,107	2,336,000	0	865,580.33	40,051,687.33
2	A Balakrishnan	Whole-time Director	13,703,396	1,936,000	74,300	39,600	15,753,296
3	Satish Menon	Whole-time Director	13,910,786	1,936,000	678,187	39,600	16,564,573
4	Jones George	Whole-time Director	3,713,231	1,664,000	0	0	5,377,231
	Total		68,177,520	7,872,000	752,487	944,780.33	77,746,787.33

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SANJEEV KUMAR	CFO	79,231	0	0	0	79,231
2	MINI NAIR	CFO	5,598,794	1,906,000	0	0	7,504,794
3	LIJU K JOHNSON	Company Secretary	2,310,337	0	0	0	2,310,337
	Total		7,988,362	1,906,000	0	0	9,894,362

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ramanathan Bupathi	Director	0	0	0	800,000	800,000
2	Mahesh Vyas	Director	0	0	0	830,000	830,000
3	Radhakrishnan Nair	Director	0	0	0	765,000	765,000
4	Alice Geevarghese	Director	0	0	0	400,000	400,000
5	Rajan Krishnanath	Director	0	0	0	465,000	465,000
6	M P Vijay Kumar	Director	0	0	0	240,000	240,000
7	Sebastian Morris	Director	0	0	0	225,000	225,000
8	Punnoose George	Director	0	0	0	425,000	425,000
9	M G Rajamanickam	Nominee Director	0	0	0	50,000	50,000
	Total		0	0	0	4,200,000	4,200,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SATHEESH KUMAR N

Whether associate or fellow

Associate Fellow

Certificate of practice number

6607

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company